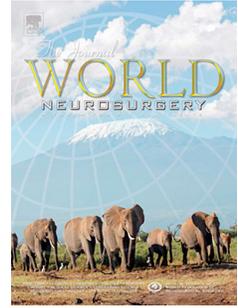


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Use of a Minimally Invasive Retractor System for Retrieval of Intracranial Fragments in Wartime Trauma

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1 ABSTRACT

2

3 **Objective:** Wartime penetrating brain injury can result in deep-seated parenchymal and
4 intraventricular shrapnel, bullets and bone. Large fragments pose a risk of secondary injury from
5 migration, infection and metal toxicity. It has been recommended that aggressive removal of
6 fragments be avoided. The goal of this study is to report our technique of minimally invasive
7 removal of select deep-seated fragments using a tubular retractor system.

8

9 **Methods:** A retrospective review of our database of service members presenting with penetrating
10 traumatic brain injuries incurred during Operations Iraqi Freedom and Enduring Freedom, and
11 treated at the Walter Reed Army Medical Center and the National Naval Medical Center, was
12 performed. Six individuals were identified in which the Vycor ViewSite™ retractor system
13 (Vycor Medical, Boca Raton, FL) was used to remove a ventricular or deep intraparenchymal
14 fragment. All patients were male and ranged in age from 21 to 29 years. Fragment location
15 included the foramen of Monro, the atrium of the right lateral ventricle, parasagittally within the
16 right occipital lobe, the occipital horn of the right lateral ventricle, temporally, and within the
17 posterior right temporal lobe deep to the junction of the transverse and sigmoid dural venous
18 sinuses. Fragments included in-driven bone, shrapnel from improvised explosive devices, and
19 bullets.

20

21 **Results:** In all cases the fragment was successfully removed. No patient had worsening of their
22 neurological condition following surgery.

23

24 **Conclusion:** Deep parenchymal and intraventricular fragments can be safely removed using a
25 tubular retractor system.

26

27

28

29 KEY WORDS

30 minimally invasive; penetrating brain injury; shrapnel; tubular retractor system; war trauma

31

32 INTRODUCTION

33

34 Care of patients with penetrating brain injuries and retained intracranial fragments has shifted
35 over the last century. At present, the available data appear indicate that the overall risk of
36 secondary neurologic complications caused by retained material, including potential for
37 infection, migration and toxicity, is relatively low. In some select cases, however, it becomes
38 clear that a retained fragment poses significant risk to a patient, and strong consideration should
39 be given to surgical retrieval. This scenario must be weighed against the possibility for further
40 iatrogenic injury caused by traversing eloquent structures en route to the lesion. In such
41 instances, it is desirable to retrieve the fragment in a manner that causes minimal iatrogenic harm
42 to the patient. Here the authors present a series of six consecutive individuals who sustained
43 penetrating traumatic brain injuries while serving in Operations Iraqi Freedom and Enduring
44 Freedom, that presented with retained intracranial fragments, and who underwent removal of the
45 retained material with a minimally invasive retractor system.

46

47 METHODS

48

49 The goal of this paper is to describe a novel use for the Vycor ViewSite™ retractor system
50 (Vycor Medical, Boca Raton FL). The authors feel that this technique offers a valid and safe
51 option for treatment of patients that have incurred penetrating brain injuries and that have retained
52 foreign bodies under circumstances in which removal is desirable.

53

54 ***Patients***

55

56 After IRB approval, a retrospective review of our database of servicemembers presenting with
57 penetrating traumatic brain injuries incurred during Operations Iraqi Freedom and Enduring
58 Freedom and treated at the Walter Reed Army Medical Center and the National Naval Medical
59 Center from March 2004 through December 2012 was performed. Six individuals were identified
60 in which the Vycor ViewSite™ retractor system (Vycor Medical, Boca Raton FL) was used to
61 facilitate removal of an intraventricular or deep intraparenchymal fragment. All patients were
62 male, and ranged in age from 21 to 29 years.

63

64 Mechanism of injury was gunshot wound to the head in two individuals, and fragmentation
65 injury to the head from improvised explosive devices in four individuals. Lesion location
66 included the foramen of Monro, the atrium of the right lateral ventricle, parasagittally within the
67 right occipital lobe, the occipital horn of the right lateral ventricle, left temporal lobe, and near
68 the junction of the right transverse and sigmoid dural sinuses. Fragments included shrapnel in
69 three patients, bullets in two patients, and an in-driven bone fragment in one patient.

70

71 ***Vycor ViewSite™ Brain Access System***

72

73 The Vycor ViewSite™ Brain Access System is a clear plastic tubular retractor system that
74 consists of an introducer fitted within a hollow working channel. The device is available in a
75 variety of lengths (3, 5 and 7 centimeters) and widths (12, 17, 21 and 28 millimeters), allowing

76 the operative plan, choice of approach and working channel to be tailored to each specific patient
77 and each application individually.

78

79 ***Technique***

80 The authors have used the Vycor ViewSite™ in multiple different manners, depending on the
81 depth, location and type of pathology being accessed. In 2011, Recinos *et al* provide an
82 excellent description of their method of using the Vycor ViewSite™ for resecting deep-seated
83 neoplasms in a series of pediatric patients (27). At our institution, we frequently employ a
84 similar technique. The relative eloquence of the cortical and subcortical structures being
85 traversed, as well as the presence of critical vascular structures in the vicinity of the lesion or on
86 the overlying cortex, are evaluated on pre-operative computed tomography and catheter
87 angiography. The length and width of the retractor is selected based on measurement of the
88 depth and size of the retained fragment on pre-operative computed tomography. The patient is
89 registered using standard stereotactic neuronavigation techniques, and the lesion is localized. An
90 appropriately-sized craniotomy is performed overlying the fragment, and a corticotomy created
91 to accommodate the pre-selected retractor. The authors prefer transcortical as opposed to trans-
92 sulcal approaches. Under image guidance, the retractor can be advance towards the target lesion
93 with stereotactic probe in place. After effectively “docking” on the lesion directly and
94 minimizing disruption to adjacent cerebral tissue, the obturator can be withdrawn, and removal
95 of the retained fragment can proceed. The authors have used this technique effectively at our
96 institution for a wide range of deep-seated lesions, including tumors, in addition to the retained
97 fragments reported in this series.

98 **RESULTS**

99 In all cases, the fragments were successfully removed. All patients tolerated the procedure well,
100 with no new neurologic deficits noted. Post-operatively, all patients underwent computed
101 tomography, and one patient that sustained an isolated penetrating head injury was able to
102 undergo magnetic resonance imaging 13 months from injury; the other five individuals sustained
103 other systemic injuries that precluded the use of MRI. In this individual case, the Vycor
104 ViewSite™ retractor was advanced down the existing tract that was made by the foreign body.
105 In all cases, the post-operative imaging revealed complete removal of targeted fragments, and no
106 untoward complications. A summary of the mechanism of injury, the location of the retained
107 fragments in question, and complications related to their removal, can be seen in in Table 1.

108

109 *Case Illustration 1*

110 A 29 year old male was injured after stepping on a buried improvised explosive device in
111 January 2012. The patient suffered severe fragmentation injuries to the face, with rupture of the
112 globes bilaterally, and a right-sided extra-axial and intra-parenchymal hematoma requiring
113 surgical evacuation. Multiple bony and metallic fragments, the largest of which measured nearly
114 1 centimeter in greatest diameter, were driven through the roof of his right orbit and into the right
115 frontal lobe near the frontal horn of the right lateral ventricle. Other injuries included severe
116 bilateral lower extremity injuries necessitating amputation.

117

118 The patient's initial CT scan can be seen in Figure 1. Over the course of his hospitalization, the
119 fragments migrated into the right lateral ventricle and descended to the Foramen of Monro. This
120 can be appreciated in Figure 2. Concurrently, the patient developed persistent CSF fistulization
121 from defects in the anterior fossa floor, with evidence of an orbital encephalocele. He was

122 taken to the operating room a two-part procedure: first, the Vycor ViewSite™ retractor was used
123 to retrieve the intraventricular fragments via a right coronal approach through existing
124 encephalomalacic brain. This portion of the operation was then followed by repair of the
125 anterior fossa floor defects in conjunction with the otolaryngology and plastic surgery services.
126
127 Cerebrospinal fluid and tissue cultures taken intraoperatively were positive for *Candida albicans*.
128 He tolerated the procedure well and incurred no new neurological deficits referable to the
129 surgery. The patient completed treatment for the *Candida* meningitis and was transferred to
130 polytrauma rehabilitation in late February 2012. At present the patient legally blind and has a
131 medically-controlled seizure disorder, however cares for himself with minimal assistance from
132 family. A post-operative CT can be seen in Figure 3, demonstrating complete removal of the
133 fragments.

134

135 ***Case Illustration 2***

136 A 24 year old male suffered an isolated penetrating brain injury to the right posterior parietal
137 area after the explosion of an improvised explosive device in July 2011. A single large, round
138 metallic fragment entered approximately 4 centimeters superior to and 2 centimeters anterior to
139 the right asterion, and came to rest immediately adjacent to the occipital horn of the right lateral
140 ventricle. The patient was treated initially with ventriculostomy. The post-ventriculostomy CT
141 can be seen in Figure 4.

142 Cather angiography, considered standard for penetrating head trauma at our institution, revealed
143 pseudoaneurysm formation in two areas: a right cortical M-4 segment, and along the right

144 calcarine artery. The calcarine artery pseudoaneurysm was amenable to endovascular occlusion;
145 however the M-4 pseudoaneurysm would come to require open microsurgical trapping. At
146 craniotomy, after the pseudoaneurysm was microsurgically obliterated, a Vycor ViewSite™
147 retractor was next advanced down the existing tract of the fragment under stereotactic guidance,
148 and the metallic foreign body was removed. At last follow-up, the patient exhibited a superior
149 quadrantanopsia referable to the calcarine pseudoaneurysm, and a medically-controlled seizure
150 disorder, but is otherwise independent. The patient's post-operative CT can be seen in Figure 5.

151

152 **DISCUSSION**

153

154 The prevailing attitude towards retained intracranial fragments has shifted dramatically since the
155 turn of the twentieth century. The most feared potential complication attributed to retained
156 material has been infection and abscess formation. During World War I, Harvey Cushing was an
157 advocate of meticulous and complete debridement of the wound, with aggressive removal of any
158 foreign material or devitalized tissue (6). Such measures markedly decreased the rates of
159 infection and poor outcome in that population of patients (5, 15, 22, 31). These tenets continued
160 to be applied through World War II, the Korean War and the Vietnam War. The data from these
161 experiences indicated a relatively high rate of meningitis and abscess formation related to
162 retained intracranial foreign material, and standard practice at the time meant many patients often
163 underwent repeat operations to ensure all retained fragments were removed (23). This, however,
164 was not without a resultant increase in complication rate, including worsened neurologic deficit,
165 wound breakdown and cerebrospinal fluid fistula formation.

166 Standing in contrast to this concept, Pitlyk *et al* in 1970 described a canine experiment in which
167 implanted bone fragments were significantly more likely to lead to suppuration if fur and skin
168 were included, suggesting that it may be in fact the meticulousness of the debridement of the
169 wound entry and exit site, and not retention of fragments *per se*, that led to the increase in
170 complications (25). Indeed, a retrospective review of the data from the Vietnam War by Rish *et*
171 *al* revealed that less than one-third of patients in which abscesses developed had retained
172 intracranial fragments, and the overall incidence of abscess formation in those with penetrating
173 brain injuries was as low as 3% (28). Along these same lines, Brandvold *et al* found that, among
174 46 Israeli survivors that had sustained penetrating cranial injuries during the Lebanese conflict of
175 1982-1985 and were available for follow-up in 1988, 51% had retained material. Further
176 analysis revealed no discernable relationship between the presence of these fragments and rate of
177 infectious complication (4). Aggressive debridement of all foreign material was not pursued in
178 this cohort of patients, and thus the authors concluded that it was unnecessary to place additional
179 potentially functional cerebral tissue at risk by aggressively and completely debriding the wound.
180 A similar conclusion was reached by Amirjamshidi *et al* in a 2003 report of their data from the
181 Iran-Iraq conflict (3). In this study, the authors noted an infection rate of only 5% despite
182 managing the 99 patients within the cohort without surgery or with only minimal local
183 debridement. In 1998, Aarabi *et al* published their results from the Iran-Iraq conflict, and found
184 that, on multivariate analysis, the most important factors that predisposed to infection were CSF
185 fistulization, crossing of paranasal sinuses, and penetration of the cerebral ventricles. Retained
186 fragments were not a risk factor on multivariate analysis (1).

187 Multiple more recent studies have shown that there is less of a risk than previously suspected
188 when deeply-seated retained fragments are left behind (1, 3, 4). Thus, it seems retained

189 fragments may not pose such a significant risk for infection as once suspected, provided that the
190 entry and exit wounds are meticulously debrided of devitalized tissue. Nonetheless, the risk for
191 serious infectious complications remains in select patients.

192 Aside from infection, another potential risk to patients with retained fragments is the possibility
193 for migration throughout the neuroaxis. Nearly 100 years ago, in 1916, Vilvandre and Morgan
194 reported the first radiographically confirmed instance of migration of foreign bodies in the brain
195 when they documented the movement of a bullet (18). Since then, multiple studies have found
196 the migration rate to be between 1 and 10 percent, with 4.2% being the rate of migration in the
197 largest series, consisting of 213 patients documented by the Israelis during the Lebanese conflict
198 of 1982-1985 (4). Most cases of migration appear to be clinically insignificant, however there
199 exists in the literature many reports of acute deterioration related to migration, especially when
200 this occurs within the ventricular system (4, 13, 18, 26, 31). In our series, four of the six
201 individuals had retained fragments that were partially or wholly contained within a CSF space,
202 and in one instance a fragment migrated into the ventricular system and settled at the Foramen of
203 Monro (Figure 2), demonstrating the very real possibility of fragment migration.

204 Metal toxicity that retained metallic fragments may pose is well-documented in the literature,
205 especially when the material is positioned intra-articularly. Synovial fluid appears to act as a
206 solvent in these instances, enhancing the dissolution and absorption of the metal. In the
207 orthopedic literature, extra-articular fragments have traditionally been left in place, although case
208 reports in the literature abound regarding the potential for toxicity in this scenario (7-10, 19, 21).
209 It seems that cerebrospinal fluid can have the same solvent-type effects on retained fragments,
210 potentially leading to toxicity if the projectiles are left in place within the neuroaxis (20). This
211 scenario in the military setting is often complicated by the fact that projectiles may be of an

212 unknown material. Homemade improvised explosive devices can often consist of other metals in
213 addition to lead, including copper, or potentially even radioactive material.

214 In summary, many authors feel that the risk of pursuing retained fragments outweighs the benefit
215 of removing them, given the relatively low overall likelihood of infection, toxicity and migration.
216 However, in some cases it may be advisable to retrieve such fragments, and in such a situation it
217 is desirable to minimize post-operative deficit related to accessing the lesion. The goal of this
218 paper is to present a novel, minimally invasive technique of accessing these fragments while
219 exposing the patient to minimal morbidity. Current tubular retractor systems provide an
220 excellent means to achieve this goal.

221
222 Neurological deficit can result from traversing eloquent cortex and white matter en route to a
223 retained fragment or other lesion. Retraction causes local edema in addition to mechanically
224 disrupting tissues; however some degree of retraction is necessary to access the target lesion (2).
225 Current blade-based retractor systems, including the Greenberg® Brain Retractor, Leyla
226 retractor, and Budde® Halo do not evenly distribute forces along the surgical corridor. Rosenorn
227 and Diemer published a series of papers in which Wistar rats were used to study changes in
228 regional cerebral blood flow and resultant ischemia as graded pressure was applied to the brain,
229 in an effort to simulate the pressure exerted by brain retraction (29, 30). In one study, they
230 applied 20, 30 or 40 mmHg of pressure to the rat's cortex and, using the method of Gjedde,
231 measured the change in regional cerebral blood flow (12). Using this model, they determined
232 that brain undergoing retraction with as little as 20 mmHg pressure was at significant risk for a
233 severe decrease in regional blood flow and resultant ischemia (30). In a follow-up study, the
234 authors expanded on this concept by showing histologically that 20 mm Hg was enough to

235 infarct all cortical layers in one of six subjects, and that 40 mmHg caused a 100% infarction rate
236 of all six cortical layers in the rat model (29).

237

238 Since these studies by Rosenorn and Diemer, multiple authors have reported the use of a tubular
239 retractor system that should in theory better distribute the forces of retraction (11, 14, 16, 17, 24,
240 27, 33). The first use of a tubular retractor was reported by Kelly *et al* in 1987, when a simple
241 metal tube was affixed to a Leksell frame (17). In 2005, Ogura *et al* created a transparent
242 cylinder by rolling a piece of 0.1 mm polyester film and placing it over a thin obturator, and used
243 this device to resect a series of intra-axial hematomas and tumors in 11 patients (24). Of
244 particular importance, the authors used a fiberoptic intraparenchymal pressure monitor in two of
245 these cases to measure the pressure the retractor exerted on surrounding tissue by inserting it
246 next to the rolled film, and found it to be consistently less than 10 mmHg (24).

247 Recently, the field of neurosurgery has seen the advent of multiple retractor systems specifically
248 tailored for this purpose, including the METRxTM (Medtronic, Minneapolis, MN) spinal retractor
249 system, COMPASS (Compass, Inc., Rochester, MN), and the Vycor ViewSiteTM (Vycor
250 Medical, Inc., Boca Raton, FL), among others. Since Ogura *et al* determined the retraction
251 pressure exerted by a tubular retraction system is less than that critical threshold for ischemia
252 delineated by Rosenorn and Diemer, multiple authors have published series of patients operated
253 on successfully using tubular retraction systems (11, 14, 16, 22, 24, 33). Recinos *et al* used
254 postoperative MR imaging to reveal any T2, FLAIR, or DWI/ADC signal abnormality in a series
255 of pediatric patients in whom the Vycor ViewSiteTM retractor was used to resect intra-axial
256 neoplasms (27). In 3 of the 4 patients, there was no evidence of white matter damage, and in the

257 individual in which signal change was apparent postoperatively, no new neurologic deficit was
258 noted on examination.

259 Vycor ViewSite™ retractors are made of lightweight transparent plastic. Unlike metallic
260 retractors, they do not conduct electricity, which may lead to damage to tissues along the surgical
261 corridor, and they allow for observation of surrounding tissue for evidence of hemorrhage,
262 ischemia, or in the context of tumor resection, abnormal-appearing tissue potentially infiltrated
263 by tumor. These features potentially enable safer resection of deep parenchymal and
264 intraventricular lesions.

265 In select cases in which a retained fragment poses more harm to a patient than does its continued
266 observance, a minimally-invasive tubular retractor system can potentially safely facilitate the
267 foreign body's removal by minimizing injury to the tissue that must be traversed in order to
268 access the fragment.

269 For the six individuals who underwent surgery, the size and depth of the retained fragment, as
270 well as the rationale for surgery, is summarized in Table 2. The sizes of the retained fragments
271 measured as small as 7 millimeters to as large as 24 millimeters. The largest fragments tended to
272 be retained bullets; their elongated, ellipsoidal shape can be problematic for the working channel
273 is not approached from the correct angle. The depth of the fragments ranged from relatively
274 superficial positions (16 millimeters) to intraventricular fragments encountered at a depth of 53
275 millimeters from the cortical surface.

276 The most common rationale for surgery was the fragment resting partially or completely within
277 the ventricular system. This was the case in four of the six patients. In one example, a fragment
278 migrated from a position within the right inferior frontal lobe into the frontal horn of the right

279 lateral ventricle, and down to the Foramen of Monro. These four intraventricular fragments were
280 felt to be pose particular threat based on their position and potential for toxicity and/or migration
281 in such a young population of patients. In one of these patients, the retained foreign body was
282 removed at the time of cranioplasty. In the other patient, the fragment was removed at the time
283 of craniotomy for microsurgical trapping of a traumatic distal middle cerebral artery
284 pseudoaneurysm that had been recalcitrant to therapeutic endovascular measures. In all cases,
285 the target fragments were able to be safely and completely resected. The Vycor was able to
286 provide a safe and effective working channel in all instances, minimizing iatrogenic injury to the
287 patient.

288

289 CONCLUSION

290 With this series of six servicemembers injured in the conflicts in the Middle East, the authors
291 have shown that the Vycor ViewSite™ retractor system can be used to successfully remove
292 deep-seated foreign bodies from injuries sustained in war.

293

294

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- 382
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- 385

Age	Mechanism	Fragment	Fragment Location	Days to Removal	Complication
21	IED blast	Shrapnel	Left temporal lobe	81	None
23	IED blast	Shrapnel	Right medial occipital lobe	11	None
24	IED blast	Shrapnel	Occipital horn right lateral ventricle	17	None
24	GSW	Bullet	Atrium right lateral ventricle	12	None
29	IED blast	Bone	Foramen of Monro	20	<i>Candida</i> meningitis
29	GSW	Bullet	Right posterior temporal lobe	171	None

Table 1 – Summary of the six patients included in this study.

Age	Mechanism	Fragment	Size (mm)	Depth (mm)	Rationale for Removal
21	IED blast	Shrapnel	16x9	45	Fragment partially within ventricle, removed at time of cranioplasty
23	IED blast	Shrapnel	8x10	27	Large and relatively superficial fragment
24	IED blast	Shrapnel	12x10	30	Fragment partially within ventricle
24	GSW	Bullet	24x6	28	Presence of fragment within ventricle, removed at time of pseudoaneurysm trapping
29	IED blast	Bone	7x7	53	Migration of fragment into ventricle
29	GSW	Bullet	18x7	16	Large and superficial fragment

Table 2 – Characteristics of foreign body and rationale for removal

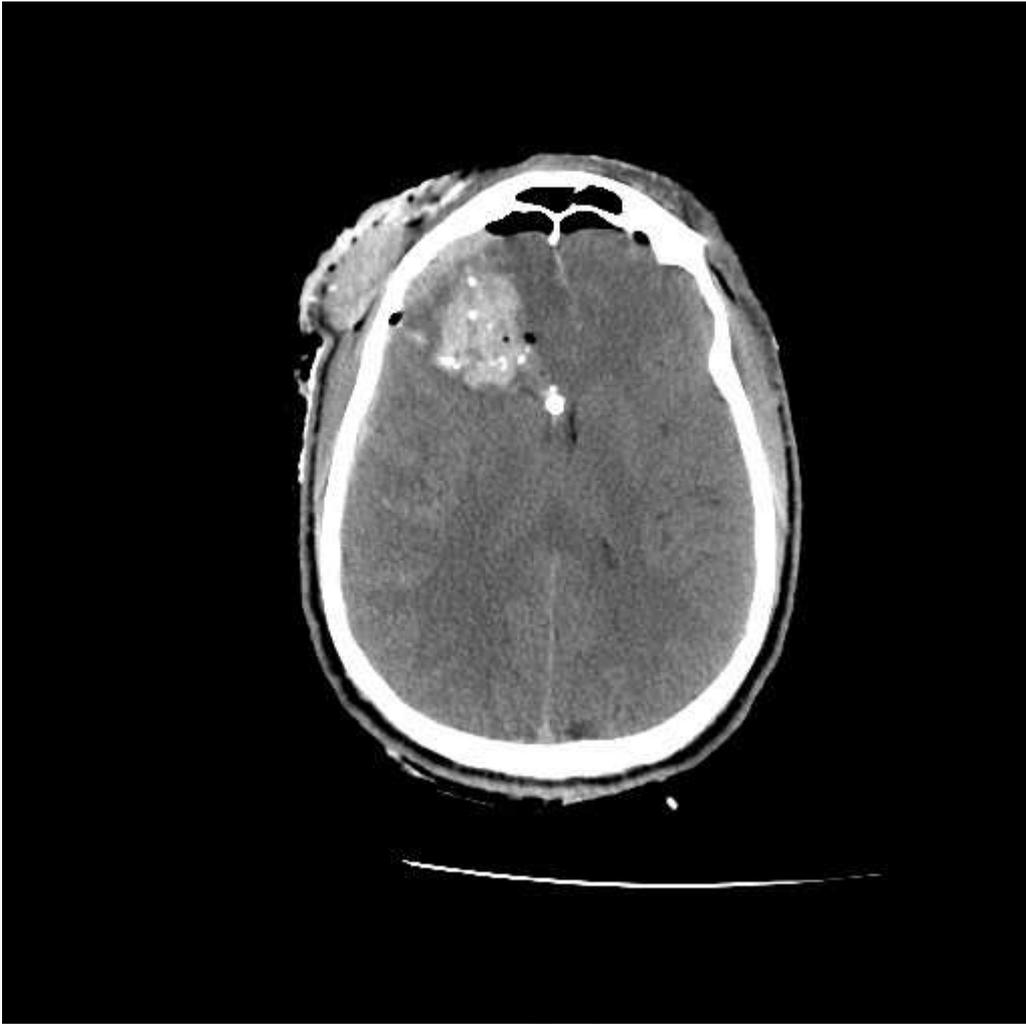
Figure 1 – Initial CT of the patient presented in Case Illustration 1. The fragment can be seen just anteromedial to the angle of the frontal horn of the right lateral ventricle.

Figure 2 – Serial imaging demonstrates migration of the fragment intraventricularly. It is not resting at the Foramen of Monro.

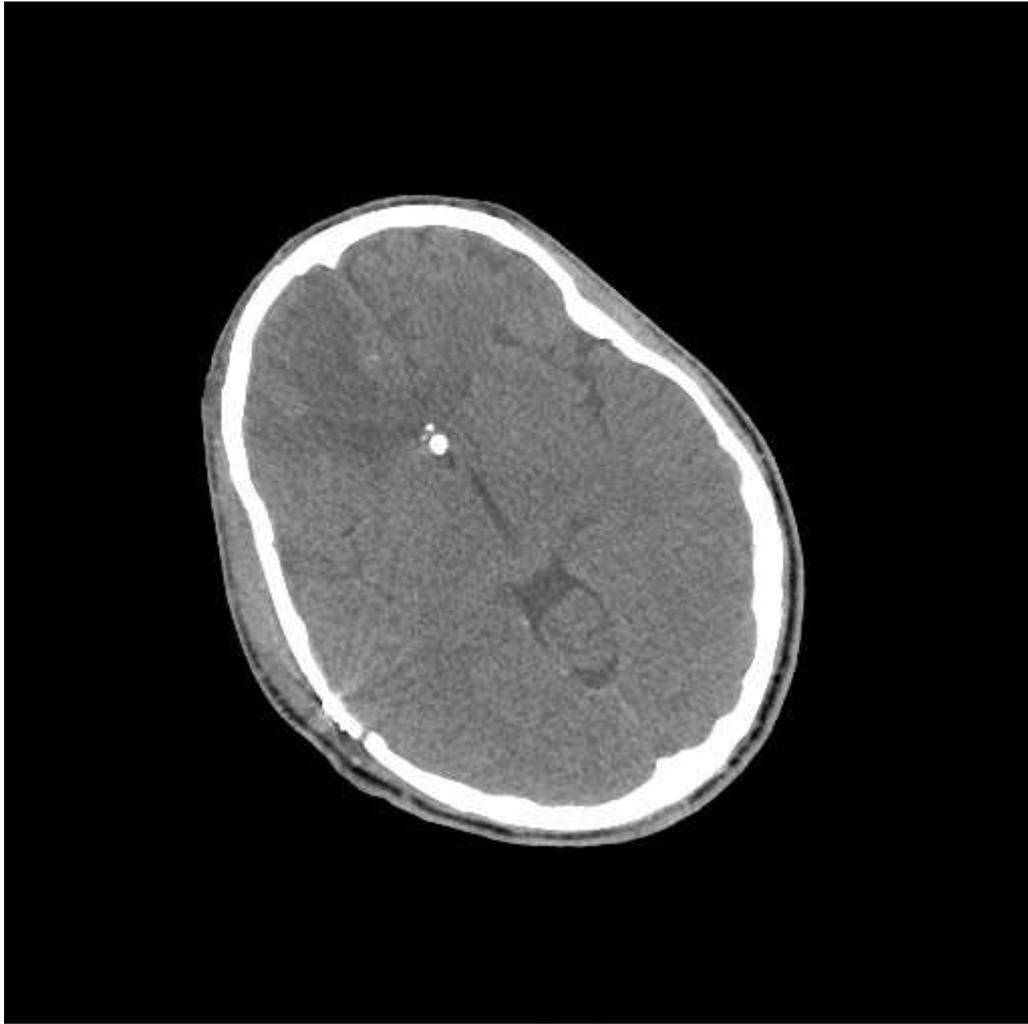
Figure 3 – Post-operative CT demonstrating successful removal of the fragment.

Figure 4 – CT of the patient presented in Case Illustration 2. A large metallic fragment can be seen immediately adjacent to the atrium/occipital horn of the right lateral ventricle. Right frontal ventriculostomy catheter is seen at the Foramen of Monro.

Figure 5 – Post-operative CT demonstrating successful removal of the fragment.

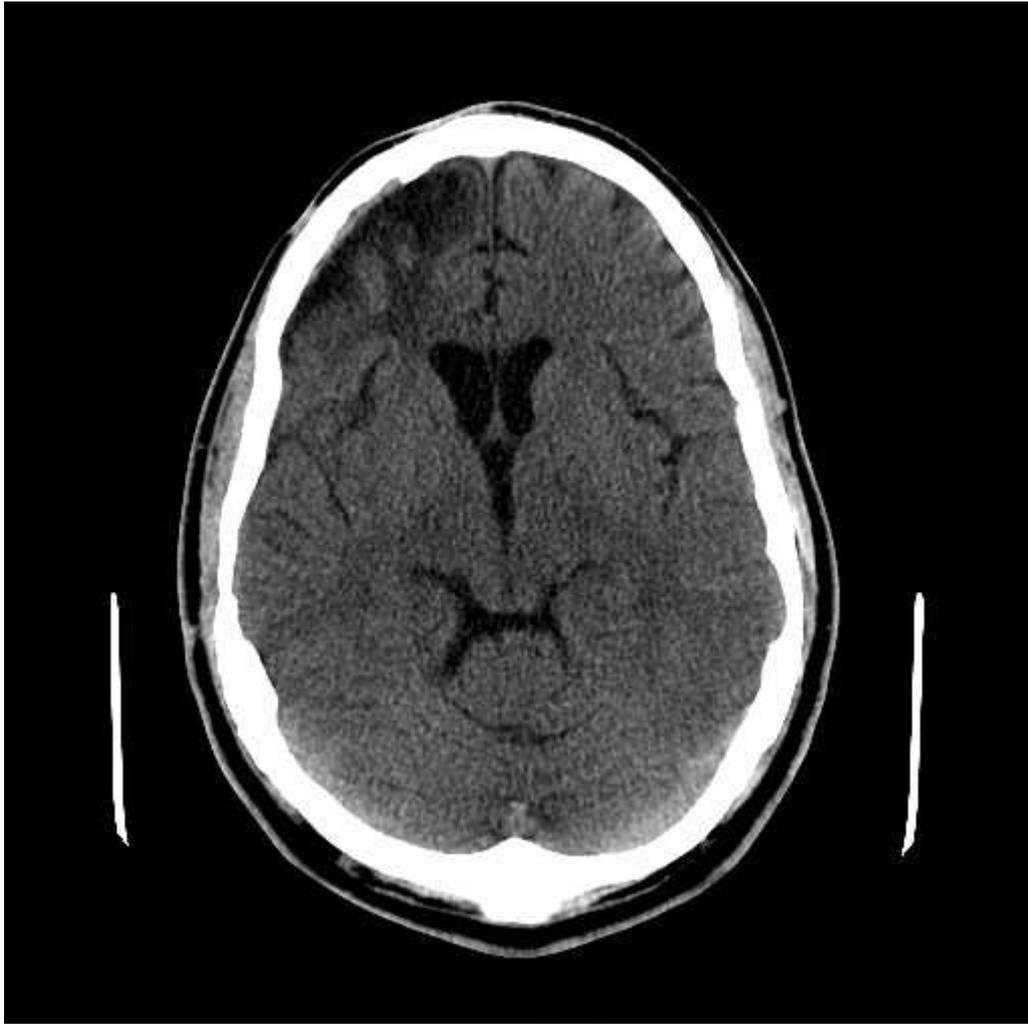


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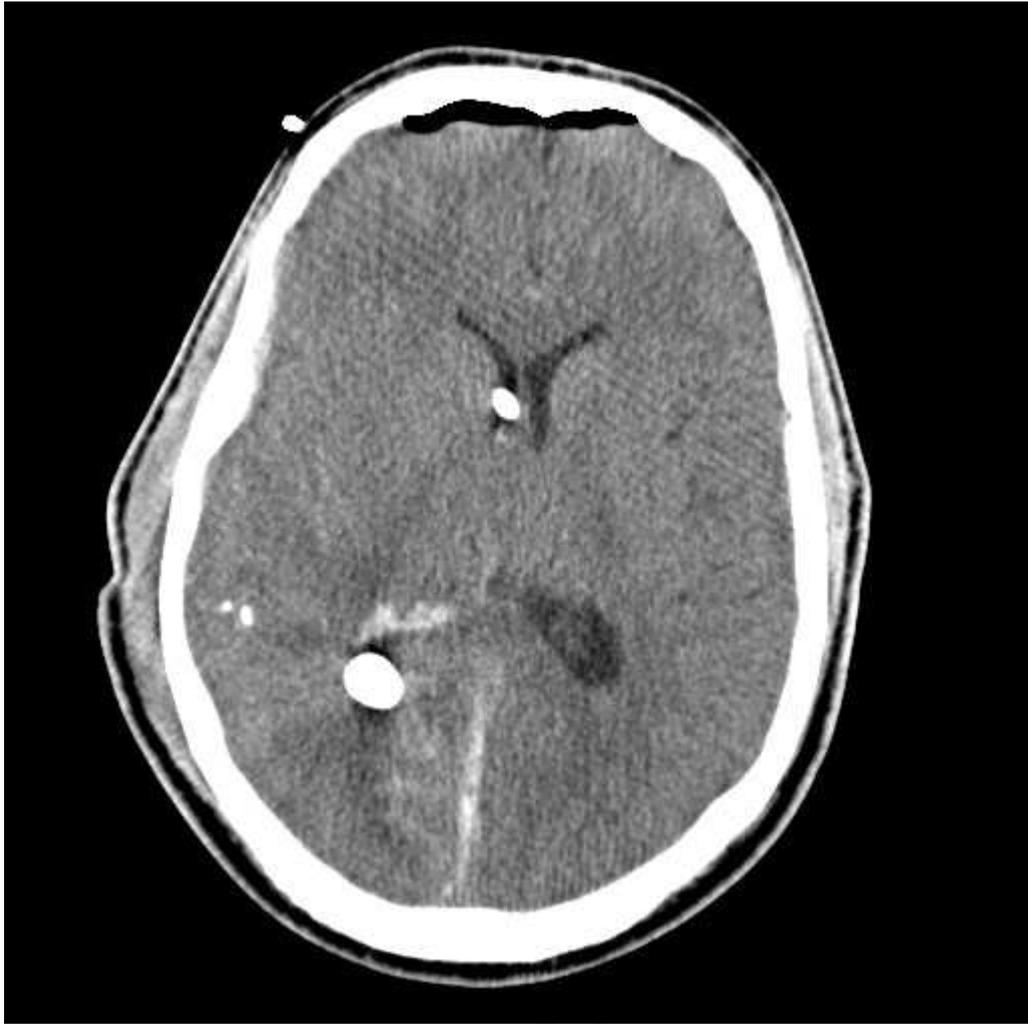
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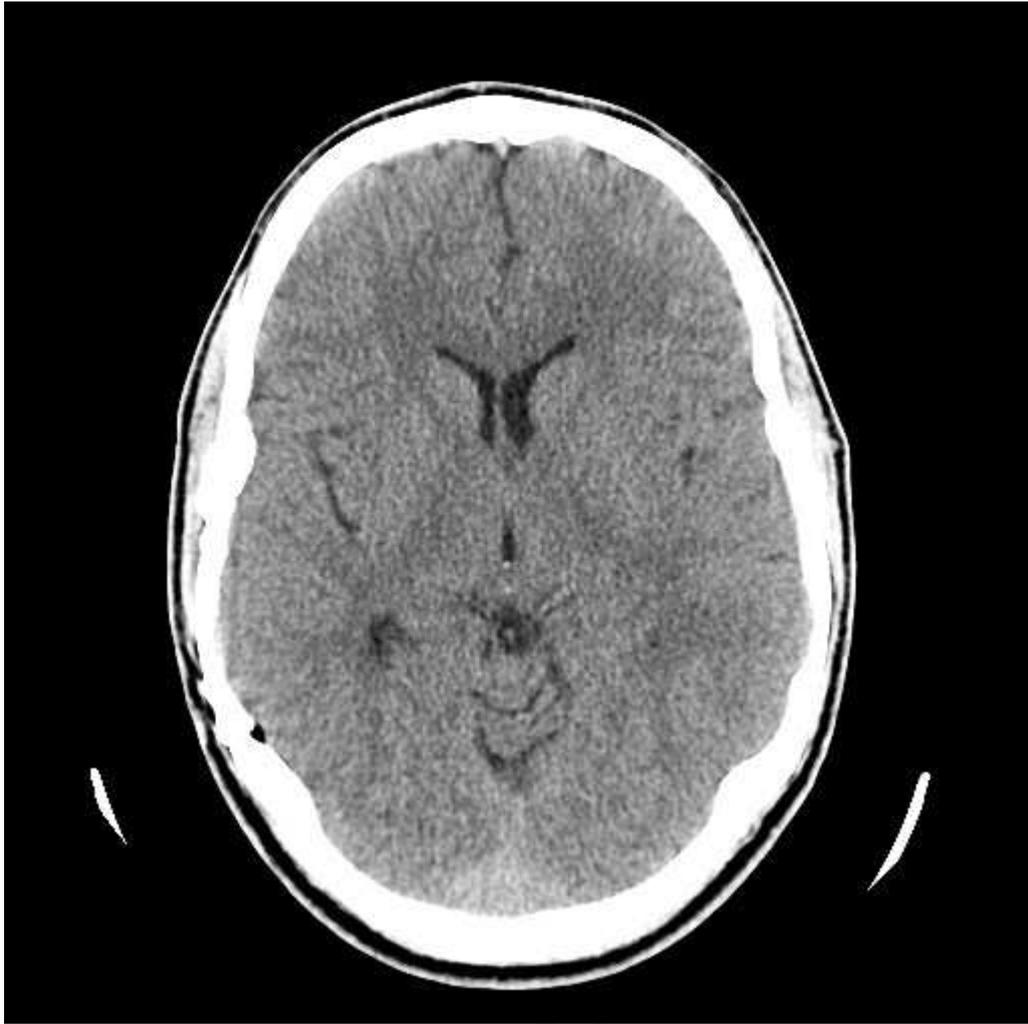
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ADC – apparent diffusion coefficient

CT – computed tomography

DWI – diffusion weighted imaging

FLAIR – fluid attenuated inversion recovery

IRB – institutional review board

mm Hg – millimeter of mercury

MRI – magnetic resonance imaging

T2 – T2-weighted magnetic resonance imaging

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CONFLICT OF INTEREST

The authors report no conflict of interest in preparation of this manuscript.